

## Weyl's theorem for perturbations of paranormal operators

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### Abstract

Weyl's theorem for perturbations of paranormal operators is studied by Pietro Aiena and Jesus R. Guillen. In this chapter, if  $T$  is a paranormal operator on a Hilbert space, then  $T + K$  satisfies Weyl's theorem for every algebraic operator  $K$  which commutes with  $T$ . if  $T$  is a paranormal operator on a Hilbert space, then  $T + K$  satisfies Weyl's theorem for every algebraic operator  $K$  which commutes with  $T$ . is discussed.

**Keywords:** Weyl's theorem, paranormal, Hilbert space, Banach space

### 1. Introduction: Weyl's theorem and perturbations of paranormal operators

#### 1.1 Theorem <sup>[4]</sup>

If  $T \in L(X)$ , then the following assertions are equivalent:

- (i) Weyl's theorem holds for  $T$ ;
- (ii)  $T$  has the SVEP at every point  $\lambda \in \sigma_W(T)$  and  $\pi_{00}(T) = p_{00}(T)$ .

Let  $\mathcal{P}_0(X)$ ,  $X$  a Banach space, denote the class of all operator  $T \in L(X)$

Such that there exists  $p = p(\lambda) \in \mathbb{N}$  for which

$$H_0(\lambda I - T) = \ker(\lambda I - T)^p \text{ For all } \lambda \in \pi_{00}(T).$$

#### 1.2 Theorem

$T \in \mathcal{P}_0(X)$  if and only if  $p_{00}(T) = \pi_{00}(T)$ .

In particular, if  $T$  has SVEP, then Weyl's theorem holds for  $T$  iff  $T \in \mathcal{P}_0(X)$ .

#### Proof

Suppose  $T \in \mathcal{P}_0(X)$  and  $\lambda \in \pi_{00}(T)$ .

Then there exists  $p \in \mathbb{N}$  such that

$$H_0(\lambda I - T) = \ker(\lambda I - T)^p.$$

Since  $\lambda$  is isolated in  $\sigma(T)$ , then by theorem 1.3.12

$$\begin{aligned} X &= H_0(\lambda I - T) \oplus K(\lambda I - T) \\ &= \ker(\lambda I - T)^p \oplus K(\lambda I - T), \end{aligned}$$

from which we obtain

$$\begin{aligned} (\lambda I - T)^p(X) &= (\lambda I - T)^p(K(\lambda I - T)) \\ &= K(\lambda I - T), \end{aligned}$$

So  $X = \ker(\lambda I - T)^p \oplus (\lambda I - T)^p(X)$ , it follows from theorem 1.3.13 that

$$p(\lambda I - T) = q(\lambda I - T) \leq p.$$

By definition of  $\pi_{00}(T)$  we know that  $\alpha(\lambda I - T) < \infty$

and this implies by theorem 1.3.17 that  $\beta(\lambda I - T)$  is also finite. Therefore  $\lambda \in p_{00}(T)$  and hence  $p_{00}(T) \subseteq \pi_{00}(T)$ .

Since the opposite inclusion holds for every operator we then conclude that

$$p_{00}(T) = \pi_{00}(T).$$

Conversely,

If  $p_{00}(T) = \pi_{00}(T)$  and  $\lambda \in \pi_{00}(T)$ ,

then  $p := p(\lambda I - T) = q(\lambda I - T) < \infty$ .

It follows from theorem 4.3.18 that

$$H_0(\lambda I - T) = \ker(\lambda I - T)^p$$

Hence  $T \in \mathcal{P}_0(X)$ . By theorem 4.2.1

**3.3 Theorem**

If  $T \in L(X)$  is algebraically paranormal, then every isolated point of the spectrum is a pole of the resolvent. Furthermore  $T \in \mathcal{P}_0(X)$ .

**Proof**

Note first that every quasi-nilpotent algebraically paranormal operator  $T$  is nilpotent.

In fact, Suppose that  $h$  is a polynomial for which  $h(T)$  is paranormal. From the spectral mapping theorem

We have  $\sigma(h(T)) = h(\sigma(T)) = \{h(0)\}$ , so  $h(0)I - h(T)$  quasi-nilpotent.

Since  $h(0)I - h(T)$  is paranormal, then  $h(0)I - h(T) = 0$ ,

and hence there are some  $n \in \mathbb{N}$  and  $\mu \in \mathbb{C}$ , Such that

$$\begin{aligned} 0 &= h(0)I - h(T) \\ &= \mu T^n \prod_{i=1}^n (\lambda_i I - T) \text{ with } \lambda_i \neq 0 \end{aligned}$$

Since all  $\lambda_i I - T$  are invertible it then follows that  $T^n = 0$ .

We show now that for every isolated point  $\lambda$  of  $\sigma(T)$

We have  $p(\lambda I - T) = q(\lambda I - T) < \infty$ ,

Therefore  $\lambda$  is a pole of the resolvent.

If  $\lambda \in \text{iso}\sigma(T)$ , let  $P$  denote the spectral projection associated with  $\{\lambda\}$ ,

$$\begin{aligned} M &:= K(\lambda I - T) \\ &= \ker P \end{aligned}$$

And  $N := H_0(\lambda I - T) = P(X)$ .

Then, by the classical spectral decomposition,  $(M, N)$  is a GKD for  $\lambda I - T$ .

Since  $\lambda I - T|N$  is quasi-nilpotent and algebraically paranormal,

then  $\lambda I - T|N$  is nilpotent, and hence  $\lambda I - T$  is of Kato type.

The SVEP for  $T$  and  $T^*$  at  $\lambda$

Then implies by theorem 1.3.18 and theorem 1.3.19 that

Both  $p(\lambda I - T)$  and  $q(\lambda I - T)$  are finite. Hence  $\lambda$  is pole of resolvent.

To show the equality

$$p_{00}(T) = \pi_{00}(T) \text{ it suffices to prove that inclusion } \pi_{00}(T) \subseteq p_{00}(T).$$

If  $\lambda \in \pi_{00}(T)$ , then  $0 < \alpha(\lambda I - T) < \infty$  and the equality  $p(\lambda I - T) = q(\lambda I - T) < \infty$  entails by theorem 1.3.17 that

$$\beta(\lambda I - T) = \alpha(\lambda I - T) < \infty,$$

So  $\lambda \in \sigma(T) \setminus \sigma_b(T) = p_{00}(T).$

**1.4 Remark**

Suppose that for a linear operator  $T$  we have  $\alpha(T) < \infty$ .

Then  $\alpha(T^n) < \infty$  for all  $n \in \mathbb{N}$ .

This may be easily seen by an inductive argument.

Suppose that  $\dim \ker T^m < \infty$ . Since  $T(\ker T^{n+1}) \subseteq \ker T^n$ ,

Then the restriction

$$T_0 = T|_{\ker T^{n+1}} : \ker T^{n+1} \rightarrow \ker T^n \text{ has kernel equal to } \ker T$$

So the canonical mapping

$$\hat{T} = \ker T^{n+1} / \ker T \rightarrow \ker T^n \text{ is injective.}$$

Therefore we have

$$\dim \ker T^{n+1} / \ker T \leq \dim \ker T^n < \infty,$$

and since  $\dim \ker T < \infty$

we then conclude that  $\dim \ker T^{n+1} < \infty$ .

**1.5 Lemma**

Suppose that  $T \in L(X)$  and  $N$  is nilpotent Such that  $TN = NT$ .

Then  $T \in \mathcal{P}_0(X)$  iff  $T + N \in \mathcal{P}_0(X)$ .

**Proof**

Suppose that  $N^p = 0$ .

Observe first that without any assumption on  $T$  we have

$$\ker T \subseteq \ker (T + N)^p \tag{1}$$

and  $\ker (T + N) = \ker T^p \tag{2}$

The first inclusion in(1) is clear.

Since for  $x \in \ker T$

we have  $(T + N)^p x = N^p x = 0.$

To show the second inclusion in (2)

Observe that if  $x \in \ker (T + N)$ ,

then  $T^p x = (-1)^p N^p x = 0.$

Suppose now that  $T \in \mathcal{P}_0(X)$ , or equivalently

$$p_{00}(T) = \pi_{00}(T).$$

We show first that

$$\pi_{00}(T) = \pi_{00}(T + N).$$

Let  $\lambda \in \pi_{00}(T)$ . There is no harm if we suppose  $\lambda = 0$ .

Form  $\sigma(T + N) = \sigma(T)$  we see that  $0 \in \text{iso}(T + N)$ .

Since  $0 \in \pi_{00}(T)$ ,

then  $\alpha(T) > 0$  and hence by the first inclusion in (1)

we have  $\alpha(T + N)^p > 0$  and this obviously  $\alpha(T + N) > 0$ .

To show that  $\alpha(T + N) < \infty$ ,

Observe that  $\ker(T + N) \subseteq \ker T^p$

$$\subseteq H_0(T).$$

The equality  $p_{00}(T) = \pi_{00}(T)$  is equivalent to saying that

$$H_0(\lambda I - T) \text{ is finite dimensional for all } \lambda \in \pi_{00}(T) \text{ by theorem 1.3.20,}$$

and hence  $H_0(T)$  is finite dimensional.

Therefore  $\alpha(T + N) < \infty$ ,

So  $0 \in \pi_{00}(T + N)$

And the inclusion  $\pi_{00}(T) \subseteq \pi_{00}(T + N)$  is proved.

To show the opposite inclusion,

assume that  $0 \in \pi_{00}(T + N)$ .

Clearly,  $0 \in \text{iso}\sigma(T) = \text{iso}\sigma(T + N)$ .

By assumption  $\alpha(T + N) > 0$

So the second inclusion in (2) entails that  $\alpha(T^p) > 0$

and this trivially implies that  $\alpha(T) > 0$ .

We also have  $\alpha(T + N) < \infty$

and hence, by Remark 4.2.4 that  $\alpha(T + N)^p < \infty$ .

From the first inclusion in (1) we then conclude that  $\alpha(T) < \infty$ .

This show that  $0 \in \pi_{00}(T)$ , so the equality  $\pi_{00}(T) = \pi_{00}(T + N)$  is proved.

Finally, if  $T \in \mathcal{P}_0(X)$ ,

then 
$$\begin{aligned} p_{00}(T + N) &= p_{00}(T) \\ &= \pi_{00}(T) \\ &= \pi_{00}(T + N), \end{aligned}$$

So  $T + N \in \mathcal{P}_0(X)$ .

Conversely, if  $T + N \in \mathcal{P}_0(X)$  by symmetry

we have 
$$\begin{aligned} p_{00}(T) &= p_{00}(T + N) \\ &= \pi_{00}(T + N) \\ &= \pi_{00}((T + N) - N) \\ &= \pi_{00}(T), \end{aligned}$$

Hence 
$$p_{00}(T) = \pi_{00}(T).$$

Therefore 
$$T \in \mathcal{P}_0(X).$$

**1.6 Lemma**

Let  $T \in L(X)$ ,  $X$  a Banach space. If  $R$  is a Riesz operator that commutes with  $T$ , then

$$\pi_{of}(T + R) \cap \sigma(T) \subseteq iso\sigma(T) \dots(1)$$

If  $T \in L(H)$  is paranormal and  $N$  is a nilpotent operator commuting with  $T$ ,

then 
$$\pi_{of}(T + N) \cap \sigma(T) \subseteq p_{00}(T) \dots(2)$$

**Proof**

The inclusion (1) has been proved in lemma 1.3.16

Assume that  $T$  is paranormal,  $N$  is commuting with  $T$  and let  $p \in \mathbb{N}$  be such that

$$N^p = 0.$$

Let 
$$\lambda \in \pi_{of}(T + N) \cap \sigma(T).$$
 by Remark 4.2.4

We have 
$$\alpha((\lambda I - (T + N))^p) < \infty.$$
 From the first inclusion of

$$ker T \subseteq ker (T + N)^p$$

and 
$$ker (T + N) \subseteq ker T^p.$$

it then follows that 
$$\alpha(\lambda I - T) \leq \alpha((\lambda I - (T + N))^p) < \infty.$$

Now,  $\lambda \in iso\sigma(T + N) = iso\sigma(T)$  and  $T$  is paranormal, So by theorem 4.2.3

$$\begin{aligned} \lambda &\text{ is a pole of the resolvent, or equivalently} \\ 0 &< p(\lambda I - T) = q(\lambda I - T) < \infty. \end{aligned}$$
 By theorem 1.3.17

We then conclude that 
$$\alpha(\lambda I - T) = \beta(\lambda I - T) < \infty.$$

And hence  $\lambda \in p_{00}(T)$ , as required.

**1.7 Theorem**

Suppose that  $T \in L(H)$  is paranormal,  $K$  algebraic and  $TK = KT$ .

Then Weyl's theorem holds for  $T + K$ .

**Proof**

$$\text{Let } \sigma(K) = \{\mu_1, \mu_2, \dots, \mu_n\}.$$

Denote by  $P_i$  the spectral projection associated with  $K$  and the spectral set  $\{\mu_i\}$ .

Let  $Y_i := P_i(H)$  and  $Z_i := ker P_i$ . Then  $H = Y_i \oplus Z_i$ , the closed subspace  $Y_i$  and  $Z_i$  are invariant under  $T$  and  $K$ , and  $\sigma(K|Y_i) = \{\mu_i\}$ .

Define 
$$K_i := K|Y_i$$

and 
$$T_i = T|_{Y_i}.$$

Clearly, the restriction  $T_i$  and  $K_i$  commute for every  $i = 1, 2, \dots, n$  and

$$\sigma(T + K) = \sigma(T_i + K_i) \cup \sigma((T + K)|_{Z_i}) \dots \dots \dots (1)$$

We show first that  $T_i + K_i \in \mathcal{P}_0(Y_i)$  for every  $i = 1, 2, \dots, n$ .

Let  $h$  be a polynomial such that

$$h(K) = 0.$$

Then 
$$h(K_i) = h(K)|_{Y_i} = 0,$$

and from 
$$\{0\} = \sigma(h(K_i)) = h(\sigma(K_i)) = h(\{\mu_i\})$$

we obtain that  $h(\mu_i) = 0$ .

Write  $h(\mu) = (\mu_i - \mu)^v q(\mu)$  with  $q(\mu_i) \neq 0$ .

Then 
$$0 = h(K_i) = (\mu_i I - K_i)^v q(K_i)$$
 where  $q(K_i)$  is invertible.

Hence 
$$(\mu_i I - K_i)^v = 0,$$

So the operators  $N_i := \mu_i I - K_i$  are nilpotent for all  $i = 1, 2, \dots, n$ .

Note that 
$$T_i + K_i = (\mu_i I + T_i) + (K_i - \mu_i I) = \mu_i I + T_i - N_i. \dots \dots (2)$$

Since every  $T_i$  is paranormal and hence algebraically paranormal,

then  $\mu_i I + T_i$  is algebraically paranormal, and hence by theorem 4.2.3

$\mu_i I + T_i \in \mathcal{P}_0(Y_i)$ . It follows from lemma 4.2.5 that

$$T_i + K_i = (\mu_i I + T_i) - N_i \in \mathcal{P}_0(Y_i).$$

We show now that  $T + K \in \mathcal{P}_0(H)$ .

Assume that  $\lambda_0 \in \pi_{00}(T + K)$ .

Then  $\lambda_0 \in \text{iso}\sigma(T + K)$  and  $\alpha(\lambda_0 I - (T + K)) < \infty$ .

From (2) we have

$$(\lambda_0 - \mu_i)I - (T_i - N_i) = \lambda_0 I - (T_i + K_i) \dots \dots (3)$$

Fix  $i \in \mathbb{N}$  such that  $1 \leq i \leq n$

We consider two cases:

**Case (i):**  $(\lambda_0 - \mu_i)I - T_i$  is invertible.

$N_i = \mu_i I - K_i$  being quasi-nilpotent and commuting with  $(\lambda_0 - \mu_i)I - T_i$ , from the equivalence

$$T \text{ invertible} \Leftrightarrow T + Q \text{ invertible.}$$

We deduce that

$$(\lambda_0 - \mu_i)I - T_i + N_i = \lambda_0 I - (T_i + K_i) \text{ is invertible}$$

and hence

$$H_0(\lambda_0 I - (T_i + K_i)) = \ker(\lambda_0 - (T_i + K_i)) = \{0\} \tag{4}$$

**Case (ii):**  $(\lambda_0 - \mu_i)I - T_i$  is not invertible.

We have  $\lambda_0 - \mu_i \in \sigma(T_i)$ .

We claim that  $\lambda_0 \in \pi_{00}(T_i + K_i)$

From (1) taking into account equality (2) We see that

$$\lambda_0 \in \text{iso}\sigma(T_i + K_i) = \text{iso}\sigma(\mu_i I + (T_i - N_i)) \tag{5}$$

From which we obtain  $\lambda_0 - \mu_i \in \text{iso}\sigma(T_i - N_i)$ .

Moreover, Since  $\alpha(\lambda_0 I - (T + K)) < \infty$ .

The inclusion  $\ker(\lambda_0 I - (T_i + K_i)) \subseteq \ker(\lambda_0 I - (T + K))$

implies that

$$\alpha(\lambda_0 I - (T_i + K_i)) = \alpha((\lambda_0 - \mu_i)I - (T_i - N_i)) < \infty.$$

Therefore  $\lambda_0 - \mu_i \in \pi_{0f}(T_i - N_i) \cap \sigma(T_i)$ .

Since  $T_i$  is paranormal and  $T_i$  satisfies Weyl's theorem by lemma 4.2.6 and theorem 4.2.1 we then obtain that

$$\lambda_0 - \mu_i \in p_{00}(T_i) = \pi_{00}(T_i) = \sigma(T_i) \setminus \sigma_W(T_i)$$

From equalities  $T$  invertible  $\Leftrightarrow T + Q$  invertible.

We also have

$$\sigma(T_i) \setminus \sigma_W(T_i) = \sigma(T_i - N_i) \setminus \sigma_W(T_i - N_i),$$

So  $(\lambda_0 - \mu_i)I - (T_i - N_i) = \lambda_0 I - (T_i + K_i)$  is Weyl.

On the other hand,

$$\lambda_0 - \mu_i \in \sigma(T_i) = \sigma(T_i - N_i),$$

Hence  $(\lambda_0 - \mu_i)I - (T_i - N_i) = \lambda_0 I - (T_i + K_i)$  is not invertible.

This implies that  $\alpha(\lambda_0 I - (T_i + K_i)) > 0$ .

Other wise  $\lambda_0 I - (T_i + K_i)$  being Weyl

we would have  $\alpha(\lambda_0 I - (T_i + K_i)) = \beta(\lambda_0 I - (T_i + K_i)) = 0$ .

And hence  $\lambda_0 \notin T_i + K_i$ ,

which is contradicting (5)

Therefore  $\lambda_0 \in \pi_{00}(T_i + K_i)$ .

Since  $T_i + K_i \in \mathcal{P}_0(Y_i)$  we then conclude that there exists  $v_i \in \mathbb{N}$  such that

$$H_0(\lambda_0 I - (T_i + K_i)) = \ker(\lambda_0 I - (T + K))^{v_i} \tag{6}$$

From the equality  $H_0(\lambda_0 I - (T + K)) = \bigoplus_{i=1}^n H_0(\lambda_0 I - (T_i + K_i))$ ,  
 And taking into account equalities (4) and (6), we then conclude that

$$H_0(\lambda_0 I - (T + K)) = \bigoplus_{i=1}^n \ker(\lambda_0 I - (T_i + K_i))^{v_i} = \ker(\lambda_0 I - (T + K))^v,$$

where  $v := \max\{v_1, v_2, \dots, v_n\}$ .

The last equalities hold for every  $\lambda_0 \in \pi_{00}(T + K)$ , so  $T + K \in \mathcal{P}_0(H)$ .

We show that  $T + K$  has SVEP.

Clearly, every  $T_i$  has SVEP, Since  $T_i$  is paranormal. It follows from theorem 1.3.22 that

$$T_i - N_i \text{ have SVEP,}$$

and hence every  $T_i + K_i = \mu_i I + (T_i - N_i)$  has SVEP By theorem 1.3.21

We obtain that

$$T + K = \bigoplus_{i=1}^n (T_i + K_i) \text{ has SVEP. By theorem 4.2.2}$$

We then conclude that  $T + K$  satisfies Weyl's theorem, as desired.

It is well know that if for an operator  $F \in L(X)$  there exists a positive integer  $n$  for which  $F^n$  is finite-dimensional, then  $F$  is algebraic.

**1.8 Corollary**

If  $T \in L(H)$  is paranormal and  $F$  is an operator that commutes with  $T$  and such that  $F^n$  is finite dimensional operator for some positive integer  $n$ , then  $T + F$  satisfies Weyl's theorem.

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